

FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 701879.4008	SERIAL NO. Not Yet Assigned
	APPLICANT: Michael T. Carley, et al.	
	FILING DATE: Herewith (9/19/2003)	GROUP: 3731

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
LB	1.	6,113,612	9/5/2000	Swanson, et al.			
↓	2.	6,152,937	11/28/2000	Peterson, et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

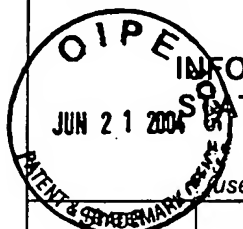
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
LB	3.	PCT Publication No. WO 00/56227, entitled "Advanced Closure Device", September 28, 2000.
↓	4.	PCT Publication No. WO 00/56223, entitled "Vascular Closure Device", September 28, 2000.

DOCSOC1:142137.1 701879-1 J2G Not yet assigned	EXAMINER: <i>Z m R</i>	DATE CONSIDERED: <i>9-14-06</i>
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Substitute for form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of

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Application Number	10/667,144
Filing Date	9/19/2003
First Named Inventor	Michael T. Carley
Art Unit	3731
Examiner Name	To be assigned
Attorney Docket Number	701879.4008

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)		
UB	1.	US 6,623,510 B2	09/23/2003	Carley et al.
↓	2.	US 6,276,704 B1	04/27/2004	Loshakove et al.

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		Country ³ Number ⁴ Kind Code ⁵ (if known)			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
UB	3.	DEROWE, A. et al., "Vascular Port Device", PCT Publication No. WO 99/62408, 12/09/1999.	
↓	4.	LASHAKOVE, A. et al., "Vascular Closure Device", PCT Publication No. WO 00/56223, 09/28/2000.	
↓	5.	LASHAKOVE, A., et al., "Advanced Closure Device", PCT Publication No. WO 00/56227, 09/28/2000.	

Examiner Signature	DOCSOC1:151868.1 701879-4008 J2G	<i>Y M B</i>	Date Considered	9-14-06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicants unique citation designation number (optional). ²See Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Applicant: Michael T. Carley et al.

Serial No.: 10/667,144

Att'y Docket No.: 16497.1.1.5

Filing Date: September 19, 2003

Group: 3731

For: CLOSURE DEVICE AND METHODS FOR MAKING AND USING THEM

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Document Number	Date	Name
LB 1	3,604,425	09/14/1971	LeRoy
2	3,757,629	09/11/1973	Schneider
3	3,805,337	04/23/1974	Branstetter
4	4,217,902	08/19/1980	March
5	4,396,139	08/02/1983	Hall et al.
6	4,485,816	12/04/1984	Krumme
7	4,505,274	03/19/1985	Speelman
8	4,586,503	05/06/1986	Kirsch et al.
9	6,665,906	05/19/1987	Jervis
10	4,777,950	10/18/1988	Kees, Jr.
11	4,860,746	08/29/1989	Yoon
12	4,865,026	09/12/1989	Barrett
13	4,917,087	04/17/1990	Walsh et al.
14	4,950,258	08/21/1990	Kawai et al.

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LM MB

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<u>UB</u> 15	5,007,921	04/16/1991	Brown
<u>16</u>	5,026,390	06/25/1991	Brown
<u>17</u>	5,053,008	10/01/1991	Bajaj
<u>18</u>	5,108,420	04/28/1992	Marks
<u>19</u>	5,156,609	10/20/1992	Nakao et al.
<u>20</u>	5,176,648	01/05/1993	Holmes et al.
<u>21</u>	5,192,302	03/09/1993	Kensey et al.
<u>22</u>	5,222,974	06/29/1993	Kensey et al.
<u>23</u>	5,304,184	04/19/1994	Hathaway et al.
<u>24</u>	5,334,217	08/02/1994	Das
<u>25</u>	5,366,458	11/22/1994	Korthoff et al.
<u>26</u>	5,478,354	12/26/1995	Tovey et al.
<u>27</u>	5,527,322	06/18/1996	Klein et al.
<u>28</u>	5,674,231	10/07/1997	Green et al.
<u>29</u>	5,683,405	11/04/1997	Yacoubian et al.
<u>30</u>	5,695,505	12/09/1997	Yoon
<u>31</u>	5,782,844	06/21/1998	Yoon et al.
<u>32</u>	5,782,861	07/21/1998	Cragg et al.

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 Group: 3731

<u>UB</u> 33	5,810,846	09/22/1998	Virnich et al.
34	5,810,851	09/22/1998	Yoon
35	5,830,125	11/03/1998	Scribner et al.
36	5,879,366	03/09/1999	Shaw et al.
37	5,910,155	06/08/1999	Ratcliff et al.
38	5,984,934	11/16/1999	Ashby et al.
39	6,007,563	12/28/1999	Nash et al.
40	6,036,720	03/14/2000	Abrams et al.
41	6,077,281	06/20/2000	Das
42	6,077,291	06/20/2000	Das
43	6,080,182	06/27/2000	Shaw et al.
44	6,080,183	06/27/2000	Tsugita et al.
45	6,402,765	06/11/2002	Monassevitch et al.
46	6,582,452	06/24/2003	Coleman et al.
47	6,719,777	04/13/2004	Ginn et al.
48	6,926,731	08/09/2005	Coleman et al.
49	2001/0047180	11/29/2001	Grudem et al.
50	2002/0082641	06/27/2002	Ginn et al.

Examiner: *LMR*

Date Considered: *9-14-06*

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<u>VB</u> 51	2004/0010285	01/15/2004	Carley et al.
<u>b</u> 52	2004/0039414	02/26/2004	Carley et al.

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
<u>VB</u> 53	WO 00/07640	02/17/2000	PCT
<u> </u> 54	WO 98/24374	06/11/1998	PCT
<u> </u> 55	WO 97/20505	06/12/1997	PCT
<u> </u> 56	WO 01/91628	12/06/2001	PCT
<u> </u> 57	FR 2722975	02/02/1996	France

Examiner:

VB MB

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